



## **SEC Rule 606 - Report on Routing of Customer Orders**

2010 - 1st Quarter

### **Securities listed on the New York Stock Exchange**

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	4.47%
Market orders as percentage of total non-directed orders	56.14%
Limit orders as percentage of total non-directed orders	43.86%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	13.02%
CDRG	5.28%
CRSS	81.60%
MLCO	0.76%

### **Securities listed on the Nasdaq**

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	3.24%
Market orders as percentage of total non-directed orders	46.27%
Limit orders as percentage of total non-directed orders	53.73%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	21.61%
MLCO	0.25%
CDRG	5.46%
CRSS	72.68%

### **Securities listed on the AMEX or other regional exchanges**

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	8.72%
Market orders as percentage of total non-directed orders	46.16%
Limit orders as percentage of total non-directed orders	53.84%
Other orders as percentage of total non-directed orders	0.00%

#### Venue Receiving Significant Percentage of Total Non-Directed Orders

AUTO	13.64%
CDRG	6.81%
CRSS	79.38%
MLCO	0.17%

### **Exchange listed Options**

#### **Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	100.00%
Other orders as percentage of total non-directed orders	0.00%